WIL-103US

2/65 PATENT AWA #75

6.5-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

William T. Wilkinson

: Art Unit: 2165

Serial No.:

09/759,571

: Unknown

Filed:

January 12, 2001

For:

METHOD FOR MATCHING

FINANCIAL SUPPLY TO

DEMAND

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GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this. Information Disclosure Statement shall not be construed to mean that a search has been made.

WIL-103US - 2 -

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More than three months have elapsed since the filing of the above-referenced application. No first Office Action has yet been received and it is presumed that none has yet been mailed. Furthermore, however, even if an Office Action has been mailed, these references were not known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. Accordingly, no fee is required even if an Office Action has been mailed, as a certification is provided below.

CERTIFICATION

The undersigned, an attorney registered to practice before the U.S. Patent and Trademark Office, hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

RATNER & PRESTIA

Costas S. Krikelis, Reg. No. 28,028 Rex A. Donnelly, Reg. No. 41,712

Attorneys for Applicant

Enclosures: PTO Form 1449

Copy of (5) References

Dated: May 23, 2003

RatnerPrestia P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500



The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA. 22313-1450 on May 23, 2003.

Ruth Curran

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Substitute for Form 1449/PTO

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& IRADEMA INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

Complete if Known					
Application Number	09/759,571				
Filing Date	January 12, 2001	MECEVEN			
First Named Inventor	William T. Wilkinson	MAY 3 0 2002			
Art Unit	2165	2003			
Examiner Name	Unknown	GROUP 3600			
Attorney Docket No.	WIL-103US				

Considered

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	1	US-5,950,174	09/07/1999	Brendzel				
	2	US-6,112,190	08/29/2000	Fletcher et al.				
	3	US-6,351,738 B1	02/26/2002	Clark				
	4	US-6,446,047 B1	09/03/2002	Brier et al.				
	5	US-6,470,325 B1	10/22/2002	Leemhuis				
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials* No.1		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	(MM-DD-YYYY)	Document	Figures Appear	Т6		
				<u> </u>				
Examiner	1			Date	 	$\overline{}$		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Signature

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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